



okabena[®] investment services — registered investment adviser
okabena company — its affiliate
okabena advisors[®] — services provided to tax-exempt clients

PRIVACY NOTICE

OUR PRIVACY POLICY. In providing investment advisory services and products to you, we collect certain nonpublic personal information about you. Our policy is to keep this information confidential and strictly safeguarded, and to use or disclose it only as needed to provide services to you, or as permitted by law. Protecting your privacy is important to us.

INFORMATION WE COLLECT. The nonpublic information we have about you includes what you give us when you open an account, communicate with us, such as your name, address, social security and/or tax identification numbers, investment objectives and experience, financial circumstances, employment and your account and account transactions. We may also collect information through your use of our website, and from consumer reporting agencies.

INFORMATION WE DISCLOSE. We disclose nonpublic personal information about you only to our affiliate, to third parties that we hire to help us provide products and services to you, as specifically authorized by you, or as otherwise allowed or required by law. We do not sell your personal information to anyone.

HOW WE SAFEGUARD YOUR INFORMATION. We have physical, electronic and procedural safeguards in place that are designed to protect the security and confidentiality of your information. These include confidentiality agreements with companies we hire to help us provide services to you, password-protected user access to our computer files and strict confidentiality policies that apply to all Okabena personnel.

Our privacy policy applies equally to current, prospective or former clients.

To help you understand our privacy policy, we have prepared the following Q&A's on the reverse side. If you would like more information, please call us as 1-888-652-2362 or 612-339-7151 or visit our website at www.okabena.com

QUESTIONS & ANSWERS

WHO IS GIVING ME THIS NOTICE? This Privacy Notice is from Okabena Investment Services, Inc., its affiliate Okabena Company and Okabena Advisors, which represents the services provided by Okabena Investment Services, Inc. to its clients.

WHY AM I RECEIVING THIS NOTICE? We are sending this Privacy Notice to let you know how we treat personal nonpublic information about you, as a prospective or former client, or as a client of one of the Okabena entities named above.

WHAT TYPES OF INFORMATION ABOUT ME DO YOU COLLECT? We gather various types of information about you when you inquire about our products or services, during the account opening process and throughout your on-going relationship with us. This may include your name, address, social security and/or tax identification number and information about your other assets, finances, employment and bank account. We also have records of your account transactions, including the dollar amounts you have invested or withdrawn, your account balances and our communications with you. We may receive additional information about you from custodian banks, prime brokers, or others that service your account.

HOW IS INFORMATION ABOUT ME USED? We use information about you to provide our investment products and services to you, such as managing your investment portfolio account or servicing a special request. We share this information among the Okabena entities. We also may disclose it, as permitted by law, to third parties that we use to provide our services to you, including outside broker-dealers, custodians, administrators, transfer agents, accountants, auditors or attorneys. From time to time, we must give information about our business to regulatory authorities. This may include personal information about you.

We do not share your non-public personal information with affiliated or non-affiliated parties for marketing purposes.

We reserve the right to change our Privacy Policies described herein, at any time.